

jc971 U.S. PTO

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PTO/SB/05 (08-00)

Approved for use through 10/31/2002, OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53 (b))

Attorney Docket No. LEX-0122-USA

First Inventor C. Alexander Turner Jr. et al.

Title Novel Human Neurexin-Like Proteins and Polynucleotides
Encoding the SameExpress Mail label
No. EL 747 066 936 US**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- 1 Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- 2 Applicant claims small entity status.
See 37 CFR 1.27.
- 3 Specification [Total Pages 28] (preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the disclosure

- 4 Drawing(s)(35 U.S.C.113) [Total Sheets] [] 1
5. Oath or Declaration [Total Pages] 3
- a. unexecuted (original or copy)
- b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
- i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:
Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. CD-ROM or CD-R (2 copies); or
- i.i. paper
- c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement (when there is an assignee) Power of Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Other

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)
Prior application information: Examiner _____ of prior application No.: _____
Group/Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label  or Correspondence address below
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Name	24231		
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Name (Print/Type)	Lance K. Ishimoto	Registration No. (Attorney/Agent)	41866
Signature		Date	January 26, 2001

Burden Hour Statement: This form is estimated to take 0 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PCT (ANNEX - FEE CALCULATION SHEET)

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LEX-0122-PCT

(This sheet is not part of and does not count as a sheet of the international application)

0	For receiving Office use only			
0-1	International Application No.			
0-2	Date stamp of the receiving Office			
0-4	Form - PCT/RO/101 (Annex) PCT Fee Calculation Sheet Prepared using	PCT-EASY Version 2.91 (updated 01.01.2001)		
0-9	Applicant's or agent's file reference	LEX-0122-PCT		
2	Applicant	LEXICON GENETICS INCORPORATED		
12	Calculation of prescribed fees	fee amount/multiplier	total amounts (USD)	
12-1	Transmittal fee	T	⇒	240
12-2	Search fee	S	⇒	846
12-3	International fee			
	Basic fee (first 30 sheets)	b1		382
12-4	Remaining sheets			40
12-5	Additional amount	(X)		9
12-6	Total additional amount	b2		360
12-7	b1 + b2 =	B		742
12-8	Designation fees			
12-9	Number of designations contained in international application			86
12-10	Number of designation fees payable (maximum 6)			6
12-11	Amount of designation fee	(X)		82
12-12	Total designation fees	D		492
12-13	PCT-EASY fee reduction	R		-117
12-14	Total International fee (B+D-R)	I	⇒	1,117
12-15	Fee for priority document			
12-16	Number of priority documents requested			2
12-17	Fee per document	(X)		15
12-18	Total priority document fee	P	⇒	30
12-19	TOTAL FEES PAYABLE (T+S+I+P)		⇒	2,233
12-20	Mode of payment	authorization to charge deposit account		
12-21	Deposit account instructions The receiving Office:	United States Patent and Trademark Office (USPTO) (RO/US)		
12-20-1	is hereby authorized to charge the total fees indicated above to my deposit account	✓		
12-20-2	is hereby authorized to charge any deficiency or credit any over-payment in the total fees indicated above to my deposit account	✓		

PCT (ANNEX - FEE CALCULATION SHEET)

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12-20-3	is hereby authorized to charge the fee for preparation and transmittal of the priority document to the International Bureau of WIPO to my deposit account	✓	PRO S-43 JC903 U 09/170643 01/26/01
12-21	Deposit account No.	50-0892	
12-22	Date	26 January 2001 (26.01.2001)	
12-23	Name and signature	ISHIMOTO, Lance, K. Reg No. 41,866 <i>Lance Ishimoto</i>	

VALIDATION LOG AND REMARKS

13-2-2	Validation messages States	Green? More designations could be made. The following States have not been designated: US. Please verify.
13-2-6	Validation messages Contents	Green? The international application contains no drawings. Please verify.
13-2-8	Validation messages Payment	Green? Please ensure that you have a valid deposit account with the receiving Office selected.



24231

PATENT TRADEMARK OFFICE

IN THE PATENT COOPERATION TREATY

Applicant: LEXICON GENETICS INCORPORATED

JG903 U.S. PRO
09/770643
01/26/01



Int'l. Appl. No.: to be assigned Attorney Docket No.: LEX-0122-PCT

Int'l. Filing Date: January 26, 2001

For: NOVEL HUMAN NUEREXIN-LIKE
PROTEINS AND
POLYNUCLEOTIDES ENCODING
THE SAME

VERIFIED STATEMENT

BOX PCT
Asst. Commission for Patents
Washington, D.C. 20231

Attn: RO/US
Sir:

I, DRENDA D. THOMAS, do declare and state as follows:

1. I prepared a Sequence Listing in paper and computer readable form in connection with the above-captioned patent application, both of which are being submitted herewith.
2. I hereby state that the contents of the paper and computer readable copies of the Sequence Listing are the same.

Signed

1/26/01
Date

Drend Thomas
Drenda D. Thomas